



Financial Affairs Division  
Arizona Department of Insurance  
2910 North 44th Street, Suite 210  
Phoenix, Arizona 85018-7269  
Telephone: (602) 364-3999/Fax: (602) 364-3989

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REINSURERS MAINTAINING SURPLUS  
[A.R.S. § 20-261.01(A)(3 and 6)]

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1. **Form E-AR-17** Application for Reinsurers Maintaining Surplus.
2. **Form E-AR-1** Power of Attorney and Certificate of Assuming Insurer. Every page must be completed.
3. Copy of most recent annual financial statement.
4. Copy of the financial statement(s) for each quarter of the current calendar year.
5. Copy of the Applicant's initial Articles of Incorporation and all subsequent amendments or, if applicable, it's most recently Restated Articles of Incorporation and all subsequent amendments.
6. Certified (by the state of domicile or port of entry state) copy of a Certificate of Authority or a Certificate of Compliance, issued by the public official having supervision of insurance in Applicant's state of domicile or port of entry showing that the Applicant is authorized to transact the kinds of insurance for which the insurer requests to assume. If the certificate only cites sections of law without naming the kinds of insurance, attach copies of the statutory definitions.
7. Statement regarding what lines of insurance the applicant is applying to reinsure (i.e. casualty with or without workers' compensation, disability, life, marine and transportation, property, surety, vehicle). If casualty with workers' compensation is being applied for, a trust deposit is required pursuant to Arizona Revised Statutes § 23-961.D and G.
8. A statement signed by an officer of the company that assures compliance with ARS § 20-261.01.A(6). Statements of Assurance shall be signed by company officials properly empowered.

We may utilize the services of financial analysts and/or examiners to assist in our review. If so, a statement for services rendered will be forwarded as these services are performed.